

Fill in this information to identify the case:

United States Bankruptcy Court for the:

_____ District of _____

Case number (if known): _____ Chapter 15

☐ Check if this is an amended filing

Official Form 401

Chapter 15 Petition for Recognition of a Foreign Proceeding

12/15

If more space is needed, attach a separate sheet to this form. On the top of any additional pages, write debtor's name and case number (if known).

1. Debtor's name

Alan Cassidy

2. Debtor's unique identifier

For non-individual debtors:

☐ Federal Employer Identification Number (EIN) _____ - _____☐ Other _____ Describe identifier _____

For individual debtors:

☐ Social Security number: xxx - xx- _____☐ Individual Taxpayer Identification number (ITIN): 9 xx - xx - _____☒ Other 075/1890684 Describe identifier Debtor's UK Unique Tax Code
WK 75 21 50 A Debtor's insurance number

3. Name of foreign representative(s)

David Adam Broadbent

4. Foreign proceeding in which appointment of the foreign representative(s) occurred

Trustee in Bankruptcy - in the High Court of Justice 3470 of 2012

5. Nature of the foreign proceeding

Check one:

- ☒ Foreign main proceeding
- ☐ Foreign nonmain proceeding
- ☐ Foreign main proceeding, or in the alternative foreign nonmain proceeding

6. Evidence of the foreign proceeding

- ☒ A certified copy, translated into English, of the decision commencing the foreign proceeding and appointing the foreign representative is attached.
- ☐ A certificate, translated into English, from the foreign court, affirming the existence of the foreign proceeding and of the appointment of the foreign representative, is attached.
- ☐ Other evidence of the existence of the foreign proceeding and of the appointment of the foreign representative is described below, and relevant documentation, translated into English, is attached.
- _____
- _____

7. Is this the only foreign proceeding with respect to the debtor known to the foreign representative(s)?

- ☐ No. (Attach a statement identifying each country in which a foreign proceeding by, regarding, or against the debtor is pending.)
- ☒ Yes

Debtor Alan Cassidy
Name

Case number (if known) _____

8. Others entitled to notice

Attach a list containing the names and addresses of:

- (i) all persons or bodies authorized to administer foreign proceedings of the debtor,
- (ii) all parties to litigation pending in the United States in which the debtor is a party at the time of filing of this petition, and
- (iii) all entities against whom provisional relief is being sought under § 1519 of the Bankruptcy Code.

9. Addresses**Country where the debtor has the center of its main interests:**United Kingdom**Debtor's registered office:**2 Waldrige Road

Number Street

P.O. Box

Chester Le Street, County Durham, DH2 3AB

City State/Province/Region ZIP/Postal Code

United Kingdom

Country

Individual debtor's habitual residence:

Number Street

P.O. Box

City State/Province/Region ZIP/Postal Code

Country

Address of foreign representative(s):Redheugh House, Teesdale South

Number Street

P.O. Box

Thornaby Place, Stockton-on-Tees, TS17 6SG

City State/Province/Region ZIP/Postal Code

United Kingdom

Country

10. Debtor's website (URL)**11. Type of debtor**

Check one:

☐ Non-individual (check one):☐ Corporation. Attach a corporate ownership statement containing the information described in Fed. R. Bankr. P. 7007.1.☐ Partnership☐ Other. Specify: _____☒ Individual

Debtor Alan Cassidy
Name

Case number (if known) _____

12. Why is venue proper in this district?

Check one:

- ☒ Debtor's principal place of business or principal assets in the United States are in this district.
- ☐ Debtor does not have a place of business or assets in the United States, but the following action or proceeding in a federal or state court is pending against the debtor in this district:
- _____
- ☐ If neither box is checked, venue is consistent with the interests of justice and the convenience of the parties, having regard to the relief sought by the foreign representative, because:
- _____

13. Signature of foreign representative(s)

I request relief in accordance with chapter 15 of title 11, United States Code.

I am the foreign representative of a debtor in a foreign proceeding, the debtor is eligible for the relief sought in this petition, and I am authorized to file this petition.

I have examined the information in this petition and have a reasonable belief that the information is true and correct.

I declare under penalty of perjury that the foregoing is true and correct,

x

David Adam Broadbent

Signature of foreign representative

Printed name

Executed on 19 March 2020
MM / DD / YYYY**x**

Signature of foreign representative

Printed name

Executed on _____
MM / DD / YYYY**14. Signature of attorney****x**

Signature of Attorney for foreign representative

Date

MM / DD / YYYY

Printed name

Firm name

Number Street

City

State

ZIP Code

Contact phone

Email address

Bar number

State

Exhibit – Section 6 of the Petition



BANKRUPTCY ORDER

IN THE HIGH COURT OF JUSTICE

NO: 3470 - 2012

IN BANKRUPTCY

MISS DEPUTY REGISTRAR KYRIAKIDES

RE: ALAN Cassidy

UPON THE PETITION of COMMISSIONERS FOR HM REVENUE AND CUSTOMS 3RD FLOOR NORTH SPUR, SOUTH WEST WING BUSH HOUSE STRAND, LONDON WC2B 4RD a Creditor which was presented on the 27th June 2012

AND UPON HEARING the Solicitor for the Petitioner and the Debtor not being present or represented

AND UPON READING the evidence

And the Court being satisfied that the EC Regulation does apply and that these proceedings are main proceedings as defined in Article 3 of the Regulation

IT IS ORDERED that ALAN Cassidy CURRENTLY A PUBLICAN OF 2 WALDRIDGE ROAD CHESTER LE STREET DURHAM DH2 3AB be adjudged bankrupt

DATED THIS: 25th October 2012

TIME: 11:32

IMPORTANT NOTICE TO BANKRUPT

The/ One of the/ Official Receiver(s) attached to the Court is by virtue of this Order Receiver and Manager of the Bankrupt's estate. You have certain duties to the Official Receiver as set out in section 291 of the Insolvency Act 1986. These include the duty to give the Official Receiver such inventory of the estate and such other information, and to attend on the Official Receiver at such times, as the Official Receiver may reasonably require.

ENDORSEMENT ON ORDER

The Solicitor to the Petitioning Creditor is:

Name: SOLICITOR OF HM REVENUE & CUSTOMS
Address: 3RD FLOOR NORTH SPUR
SOUTH WEST WING
BUSH HOUSE
STRAND LONDON
WC2B 4RD
Telephone: 020 7438 7732
Reference: SLR1521551/38/SEAT F(BS)



Reference: **BKT3668571**

IPSSC

IN THE HIGH COURT OF JUSTICE

3470 of 2012

ALAN CASSIDY

IN BANKRUPTCY

THIS IS TO CERTIFY that

Mr D A BROADBENT of EVOLUTION BUSINESS RECOVERY SERVICES LIMITED of
17-25 Scarborough Street, HARTLEPOOL, Cleveland, TS24 7DA

has been appointed as trustee of the bankruptcy estate of ALAN CASSIDY with effect from
5 December 2012 .

On behalf of the Secretary of State

A handwritten signature in black ink, appearing to read 'Lydie Goss', with a large circular flourish at the end.

Date. 5 December 2012



Exhibit - Section 8 of the Petition

Section 8(i):

David A. Broadbent
Redheugh House, Teesdale South
Thornaby Place, Stockton-on-Tess, TS17 6SG

Section 8(ii) - Not applicable

Section 8(iii) - Not applicable

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